

Investor Complaints Data for Broking Arm
Data for month ended July 2024

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 2 | SEBI | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 3 | Stock Exchanges | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | NA |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | NA |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Jan-24 | 0 | 0 | 0 | 0 |
| 2 | Feb-24 | 0 | 0 | 0 | 0 |
| 3 | Mar-24 | 0 | 0 | 0 | 0 |
| 4 | Apr-24 | 0 | 0 | 0 | 0 |
| 5 | May-24 | 0 | 0 | 0 | 0 |
| 6 | Jun-24 | 0 | 0 | 0 | 0 |
| 7 | Jul-24 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|-------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 0 | 0 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 0 | 0 | 0 |
| 5 | 2021-22 | 0 | 0 | 0 | 0 |
| 6 | 2022-23 | 0 | 0 | 0 | 0 |
| 7 | 2023-24 | 0 | 0 | 0 | 0 |
| 8 | 2024-25 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |