## Investor Complaints Data for Broking Arm Data for month ended AUGUST 2025

| SN | Received<br>from              | Carried<br>forward<br>from<br>previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* |   | Pending for more than 3 months | Average<br>Resolution<br>time^<br>(in days) |
|----|-------------------------------|---|---------------------------------|------------------|-----------|---|--------------------------------|---|
| 1  | 2                             | 3   | 4                               | 5                | 6         |   | 7                              | 8   |
| 1  | Directly<br>from<br>Investors | 0   | 0                               | 0                | 0         | 0 | 0                              | NA  |
| 2  | SEBI<br>(SCORES)              | 0   | 0                               | 0                | 0         | 0 | 0                              | NA  |
| 3  | Stock<br>Exchanges            | 0   | 0                               | 0                | 0         | 0 | 0                              | NA  |
| 4  | Other<br>Sources (if<br>any)  | 0   | 0                               | 0                | 0         | 0 | 0                              | NA  |
| 5  | Grand Total                   | 0   | 0                               | 0                | 0         | 0 | 0                              | NA  |

## **Trend of monthly disposal of complaints**

| SN | Month          | Carried<br>forward<br>from<br>previous<br>month | Received | Resolved* | Pending** |
|----|----------------|---|----------|-----------|-----------|
| 1  | 2              | 3   | 4        | 5         | 6         |
| 1  | Jan-25         | 0   | 0        | 0         | 0         |
| 2  | Feb-25         | 0   | 0        | 0         | 0         |
| 3  | Mar-25         | 0   | 0        | 0         | 0         |
| 4  | Apr-25         | 0   | 0        | 0         | 0         |
| 5  | May-25         | 0   | 0        | 0         | 0         |
| 6  | Jun-25         | 0   | 0        | 0         | 0         |
| 7  | Jul-25         | 0   | 0        | 0         | 0         |
| 8  | Aug-25         | 0   | 0        | 0         | 0         |
|    | Grand<br>Total | 0   | 0        | 0         | 0         |

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

| SN | Year    | Carried  | Received   | Resolved   | Pending     |
|----|---------|----------|------------|------------|-------------|
|    |         | forward  | during the | during the | at the end  |
|    |         | from     | year       | year       | of the year |
|    |         | previous |            |            |             |
|    |         | vear     |            |            |             |
| 1  | 2017-18 | 0        | 0          | 0          | 0           |
| 2  | 2018-19 | 0        | 0          | 0          | 0           |
| 3  | 2019-20 | 0        | 0          | 0          | 0           |
| 4  | 2020-21 | 0        | 0          | 0          | 0           |
| 5  | 2021-22 | 0        | 0          | 0          | 0           |
| 6  | 2022-23 | 0        | 0          | 0          | 0           |
| 7  | 2023-24 | 0        | 0          | 0          | 0           |
| 8  | 2024-25 | 0        | 0          | 0          | 0           |
| 9  | 2025-26 | 0        | 0          | 0          | 0           |
|    | Grand   | 0        | 0          | 0          | 0           |
|    | Total   |          |            |            |             |

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each